## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

P Masaki Umayabashi

OCT 1 7 2099erfa No.:

10/617,353

Group Art Unit:

2171

ing Date:

July 11, 2003

Examiner:

Unknown

For:

FRAME TRANSFER METHOD AND NODE IN NETWORK, AND FRAME

TRANSFER PROGRAM

Honorable Commissioner of Patents Alexandria, VA 22313-1450

### SUBMISSION OF ASSIGNMENT

Sir:

Kindly record and return to the undersigned the attached Assignment from Masaki UMAYABASHI, Kazuo TAKAGI, Youichi HIDAKA, Nobuyuki ENOMOTO, Atsushi IWATA, and Makoto SHIBUTANI to NEC Corporation, for the above-identified patent application. The recording fee \$ 40.00 is included in the total fee for filing the Missing Parts for the above-identified application, a check for which accompanies this submission.

Respectfully submitted,

Sean M. McGinn, Esq.

Registration No. 34,386

Date: \

McGinn & Glbb, PLLC

Intellectual Property Law

8321 Courthouse Road, Suite 200

Vienna, VA 22182-3817

(703) 761-4100

Customer No. 21254

Pocket No. MA-580-US

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re patent application of

Masaki Umayabashi, et al.

Serial No.:

10/617,353

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2171

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OCT 1 7 2003

FRAME TRANSFER METHOD AND NODE IN NETWORK, AND FRAME

TRANSFER PROGRAM

Honorable Commissioner of Patents Alexandria, VA 22313-1450

### SUBMISSION OF DECLARATION

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application (copy attached), dated October 8, 2003, submitted herewith is the signed declaration for the above-identified patent application, along with our check in the amount of \$5,266 to cover the \$770 application fee, the \$130 surcharge for the late filing of the declaration, and \$40 for the assignment recordation fee and \$4,326 for additional claim fee.

Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account No. 50-0481.

Respectfully submitted,

Sean M. McGinn, Esq. Registration No. 34,386

Date:

McGinn & Gibb, PLLC Intellectual Property Law

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OCT 1 7 2003

#### **DECLARATION AND POWER OF ATTORNEY**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint oventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the nvention entitled:

FRAME	TRANSFER	METHOD	AND	NODE	IN	NETWORK,	AND	FRAME	TRANSFER	PROGRAM
the specif	fication of whi	ich:								
	X was file	hed hereto)	ly 1	, 200	)3			,		
	as A	Application was amend	Seriai led on	No1_1	0/6	17,353	. (if ap	plicable)		

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56\*

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)			priority claimed	
2002-204673	Japan	12/July/2002	X	
(Number)	(Country)	(Day/Month/Year Filed)	yes	no
2002-239465	<u>Japan</u>	20/August/2002	X	
(Number)	(Country)	(Day/Month/Year Filed)	yes	no
2003-041179	Japan	19/February/2003	X	
(Number)	(Country)	(Day/Month/Year Filed)	yes	no

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	(Status: patented, pending, abandoned)

Power of Attorney: As a named inventor, I hereby appoint Sean M. McGinn, Reg. No. 34, 386, and Frederick W. Gibb, III, Reg. No. 37,629, as attorneys and/or agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. All correspondence should be directed to McGinn & Gibb, PLLC, 8321 Old Courthouse Road, Suite 200, Vienna, Virginia 22182-3817. Telephone calls should be directed to McGinn & Gibb, PLLC at (703) 761-4100. Customer No. 21254

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Sole Joint Inventor, If Any <u>Masaki Umayabashi</u>
Inventor's Signature <u>Masaki Umayalashi</u> Date July 10, 2003
Residence Tokyo, Japan
Citizenship Japanese
Post Office Address <u>c/o NEC Corporation</u> , 7-1, Shiba 5-chome, Minato-ku, Tokyo, Japan
Full Name of Second Joint Inventor, If Any Kazuo Takagi  Laurenter's Signature  To Second  To Secon
Inventor's Signature Date July 10, 2003
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Citizenship Japanese
Post Office Address <u>c/o NEC Corporation</u> , 7-1, <u>Shiba 5-chome</u> , <u>Minato-ku</u> , <u>Tokyo</u> , <u>Japan</u>
Full Name of Third  Joint Inventor, If Any Youichi Hidaka  Inventor's Signature Jouichi Hidaka  Date July 10, 2003
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Full Name of Fourth Joint Inventor, If Any Nobuyuki Enomoto  Inventor's Signature Cnomotor Date July 10, 2003
Residence Tokyo, Japan
Citizenship Japanese
Post Office Address c/o NEC Corporation, 7-1, Shiba 5-chome, Minato-ku, Tokyo, Japan
(An additional sheet(s) is/are attached hereto if the present invention includes more than four inventors.)
*Title 37, Code of Federal Regulations, § 1.56:

- (a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith toward the Patent and Trademark Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and (1) it establishes by itself or in combination with other information, a prima facie case of unpatentability; or (2) it refutes, or is inconsistent with, a position the applicant takes in: (i) opposing an argument of unpatentability relied on by the Office, or (ii) asserting an argument of patentability.

Full Name of Sole Joint Inventor, If Any Atsushi Iwata	
Inventor's Signature Atsushi wate Date July 10, 2003	
Date 12-1 200	
Residence Tokyo, Japan	<del></del>
Citizenship Japanese	
Post Office Address c/o NEC Corporation, 7-1, Shiba 5-chome, Minato-ku, Tokyo, J	apan
Full Name of Second	
Joint Inventor, If Any Makoto Shibutani	
Inventor's Signature Multato Shiluthin Date July 10, 2003	
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CitizenshipJapanese	
Post Office Address c/o NEC Corporation, 7-1, Shiba 5-chome, Minato-ku, Tokyo, J	apan
Full Name of Third Joint Inventor, If Any	
Inventor's Signature Date	
Residence	
Citizenship	<del></del>
Post Office Address	
Full Name of Fourth	
Joint Inventor, If Any	
Inventor's Signature Date	
Residence	
Citizenship	
Post Office Address	
(An additional sheet(s) is/are attached hereto if the present invention includes more than four inventors.)	

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